

# The Chomsky Hierarchy

Non-recursively enumerable

Recursively-enumerable

Recursive

Context-sensitive

Context-free

Regular

Decidability

Consider problems with answer YES or NO

Examples:

- Does Machine  $M$  have three states ?
- Is string  $w$  a binary number?
- Does DFA  $M$  accept any input?

A problem is decidable if some Turing machine decides (solves) the problem

Decidable problems:

- Does Machine  $M$  have three states ?
- Is string  $w$  a binary number?
- Does DFA  $M$  accept any input?

The Turing machine that decides (solves)  
a problem answers **YES** or **NO**  
for each instance of the problem

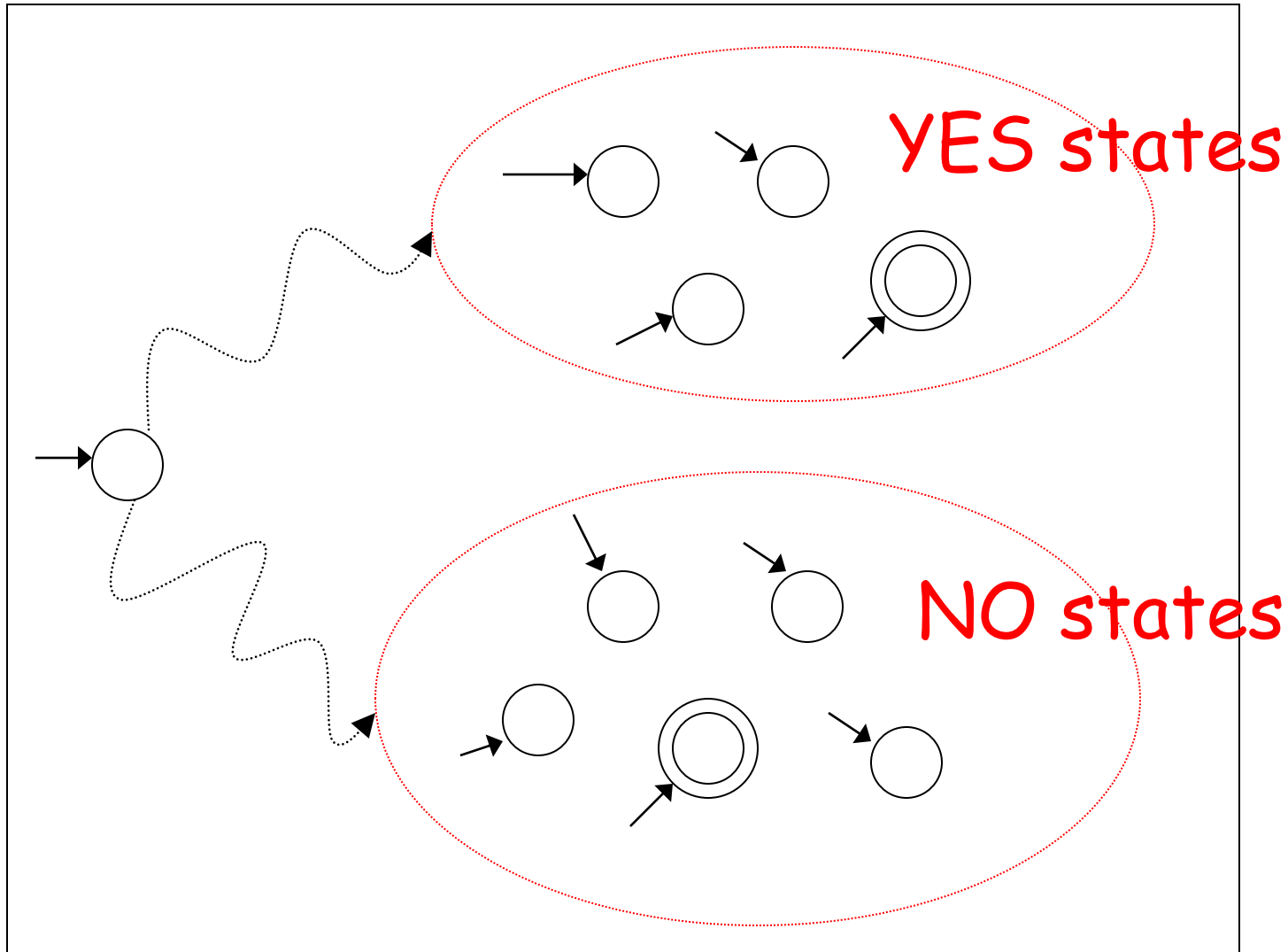


# The machine that decides (solves) a problem:

- If the answer is **YES**  
then halts in a yes state
  
- If the answer is **NO**  
then halts in a no state

These states may not be final states

# Turing Machine that decides a problem



YES and NO states are halting states

# Difference between Recursive Languages and Decidable problems

For decidable problems:

The YES states may not be final states



# Problems = Languages

Given any TM  $M$  and any input  $w$ , will  $M$  halt on  $w$ ?

This is a problem.

Define

This is a language.

$$H = \{ \langle M, w \rangle \mid M \text{ halts on } w \}$$

The fact

The problem and the language are  
**equivalent!**

# Problems = Languages

Given any string  $w$ , will  $w$  have property  $P$ ?

This is a problem.

Define

This is a language.

$$H = \{w \mid w \text{ has property } P\}$$

Conclusion:

A problem is a language.

A language is a problem.

# A decidable problem

$A_{\text{DFA}} = \{ \langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w \}$

**Theorem 4.1**  $A_{\text{DFA}}$  is a decidable language.

## Proof

$M =$  "On input  $\langle B, w \rangle$ , where  $B$  is a DFA and  $w$  is a string:

- 1, Simulate  $B$  on input  $w$ ;
- 2, If the simulation ends in an accept state, *accept*. If it ends in a nonaccepting state, *reject*."

# Another decidable problem

$A_{CFG} = \{ \langle G, w \rangle \mid G \text{ is a CFG that generates string } w \}$

**Theorem 4.7**  $A_{CFG}$  is a decidable language.

## Proof

S="On input  $\langle G, w \rangle$

- 1, Convert  $G$  to an equivalent grammar in Chomsky normal form.
- 2, List all derivations with  $2n-1$  steps, where  $n$  is the length of  $w$ , except if  $n=0$ , then instead list all derivations with 1 step.
- 3, If any of these derivations generate  $w$ , *accept*; if not, *reject*."

Some problems are undecidable:

which means:

there is no Turing Machine that  
solves all instances of the problem

A simple undecidable problem:

The membership problem

# The Membership Problem

Input:

- Turing Machine  $M$
- String  $w$

Question: Does  $M$  accept  $w$  ?

$$w \in L(M) ?$$

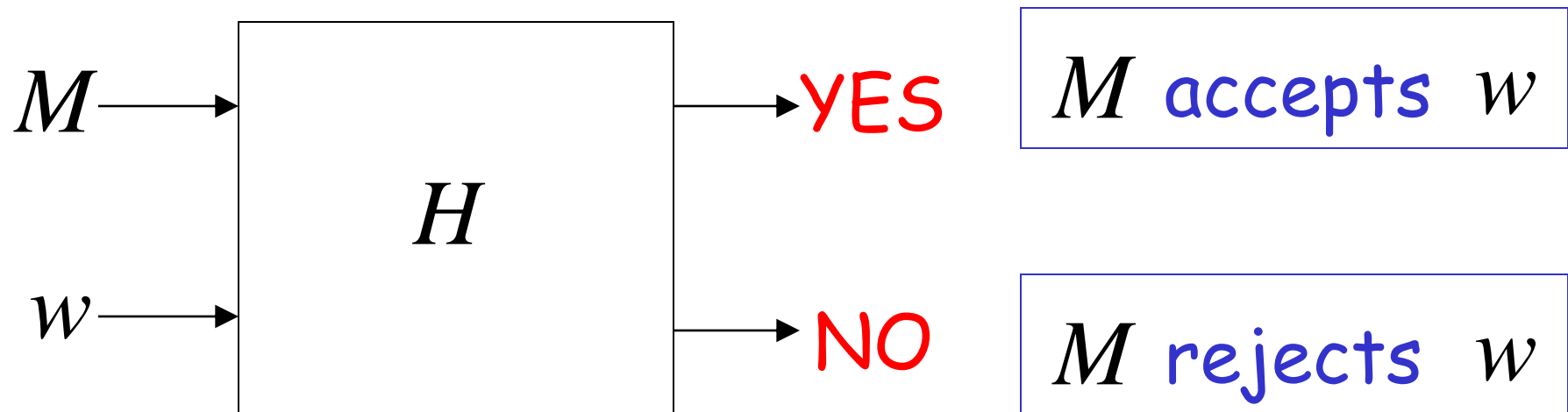
## Theorem:

The membership problem is undecidable

(there are  $M$  and  $w$  for which we cannot decide whether  $w \in L(M)$  )

**Proof:** Assume for contradiction that the membership problem is decidable

Thus, there exists a Turing Machine  $H$  that solves the membership problem





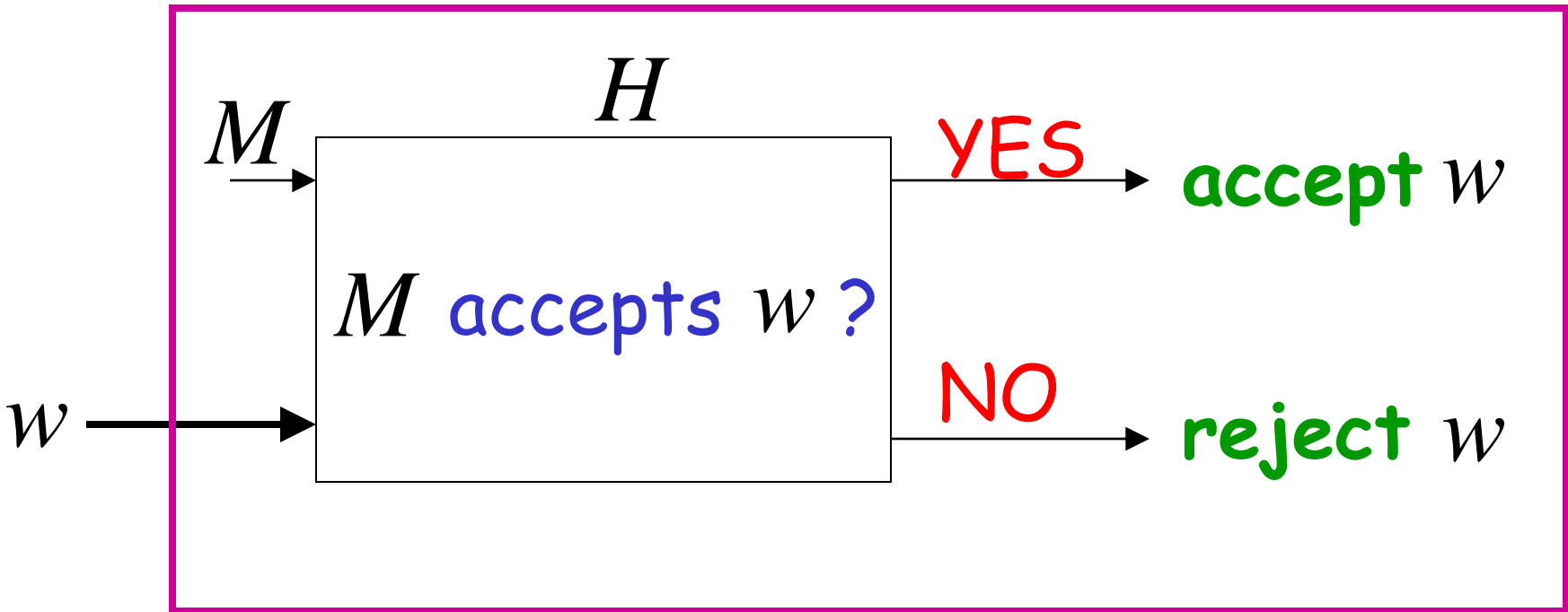
Let  $L$  be a recursively enumerable language

Let  $M$  be the Turing Machine that accepts  $L$

We will prove that  $L$  is also recursive:

we will describe a Turing machine that accepts  $L$  and halts on any input

# Turing Machine that accepts $L$ and halts on any input



On  $w$ ,  $M$  may loop because  $L$  is a recursively enumerable. But  $H$  always halts because  $H$  can decide  $\langle M, w \rangle$ . So, on  $w$ ,  $H$  halts.  $L$  is recursive

Therefore,  $L$  is recursive

Since  $L$  is chosen arbitrarily, **every** recursively enumerable language is also recursive

But there are recursively enumerable languages which are not recursive

**Contradiction!!!!**

Therefore, the membership problem  
is undecidable

END OF PROOF

Another famous undecidable problem:

The halting problem

# The Halting Problem

Input:

- Turing Machine  $M$
- String  $w$

Question: Does  $M$  halt on input  $w$  ?

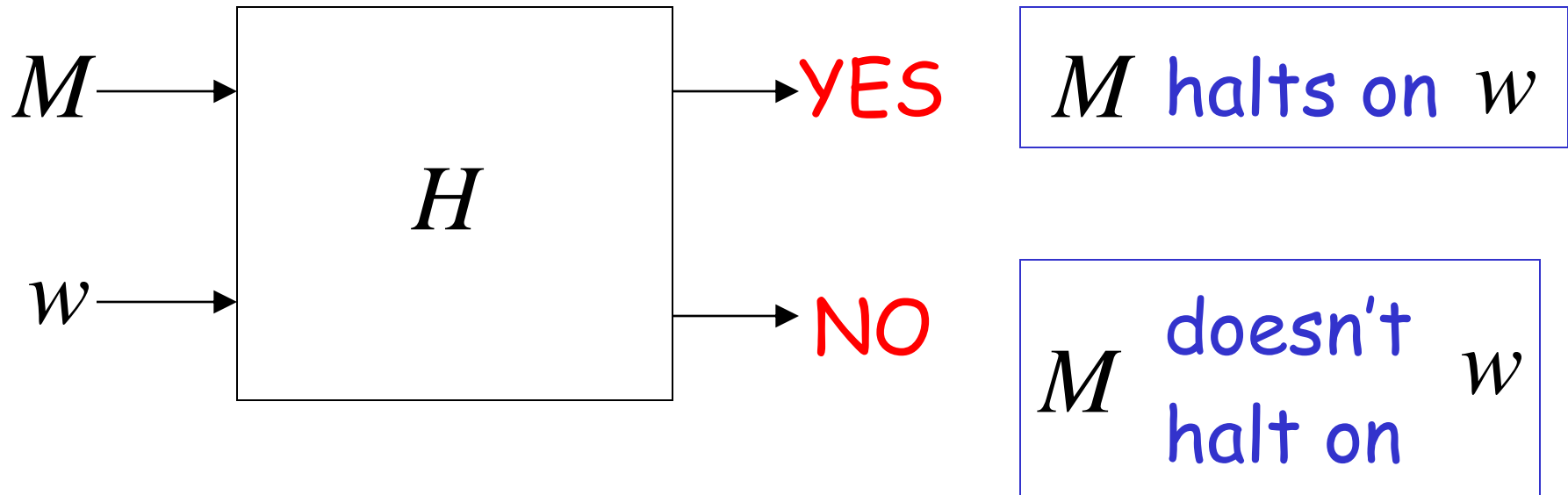
## Theorem:

The halting problem is undecidable

(there are  $M$  and  $w$  for which we cannot decide whether  $M$  halts on input  $w$  )

**Proof:** Assume for contradiction that the halting problem is decidable

Thus, there exists Turing Machine  $H$  that solves the halting problem



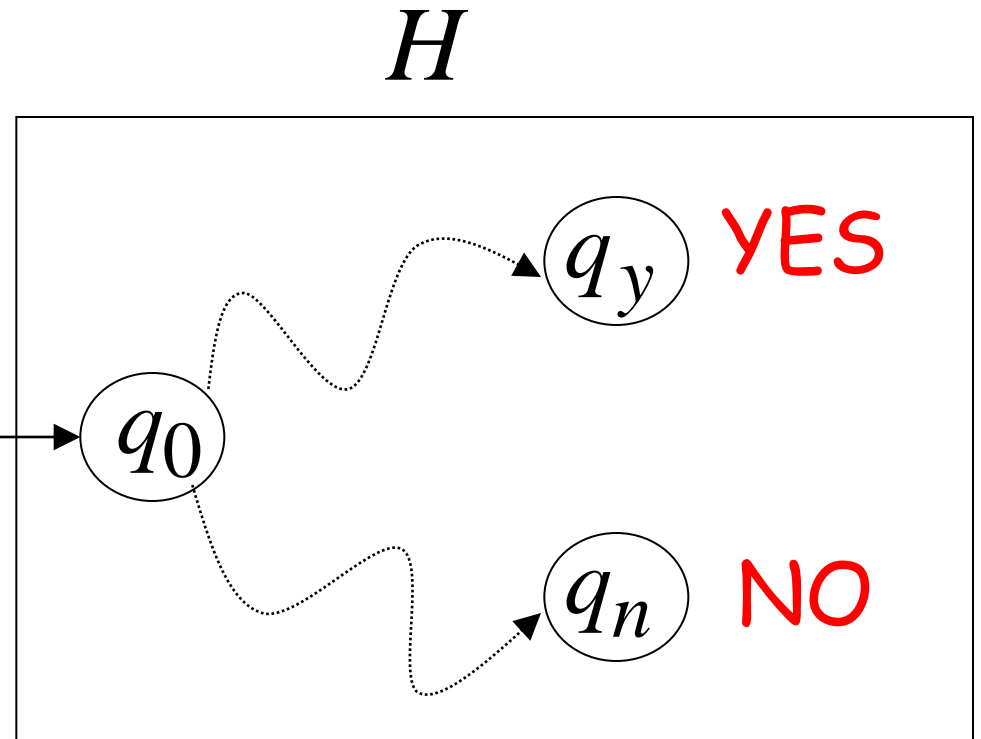


# Construction of $H$

Input:  
initial tape contents

Encoding  
of  $M$   $w_M$

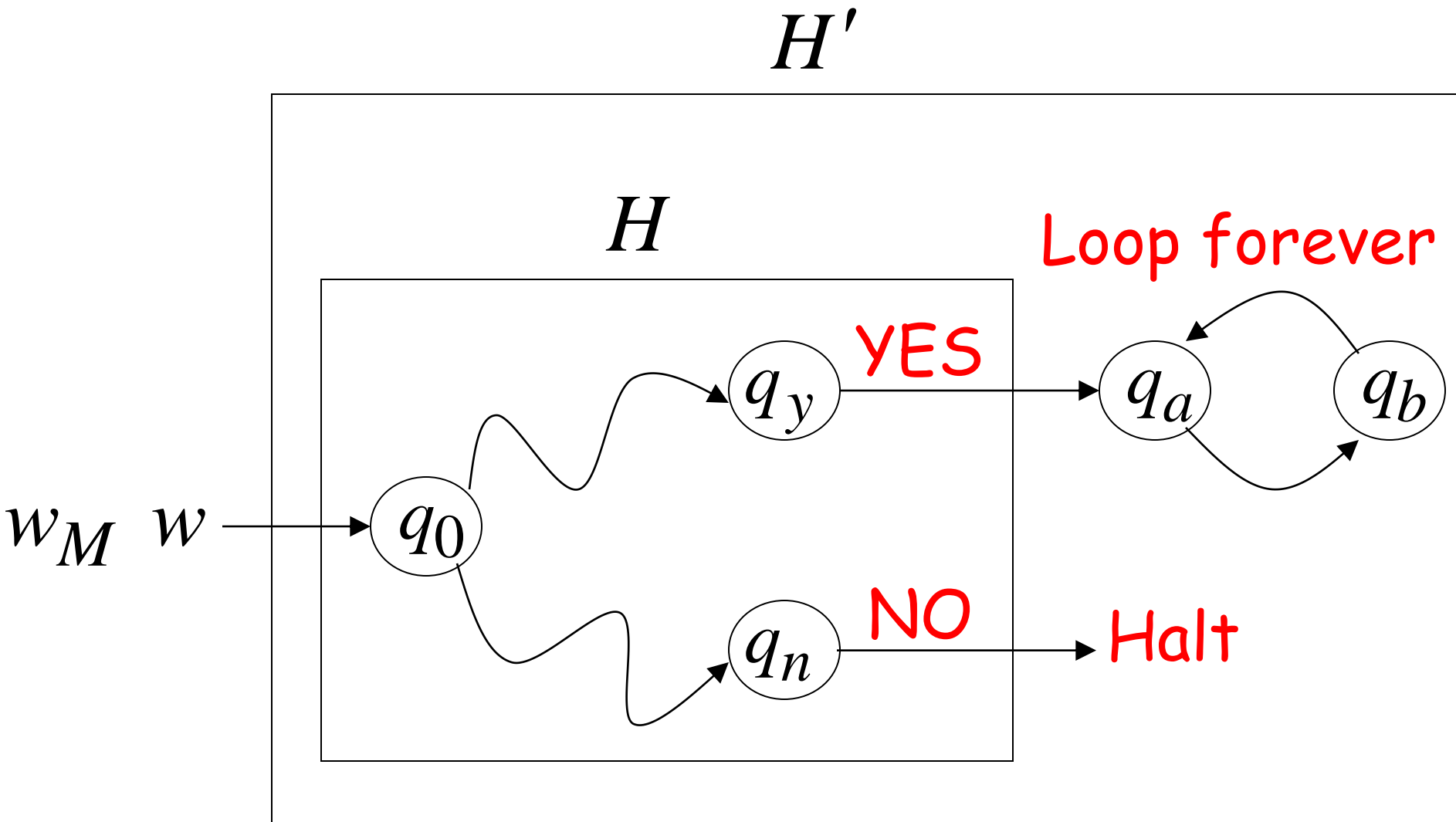
String  
 $w$



Construct machine  $H'$  :

If  $H$  returns YES then loop forever

If  $H$  returns NO then halt



Run machine  $H'$  with input itself:

Input:  $w_{H'}$  (machine  $H'$ )

If  $H'$  halts on input  $w_{H'}$

Then loop forever

Else halt

$H'$  on input  $w_{H'}$  :

If  $H'$  halts then loops forever

If  $H'$  doesn't halt then it halts

**NONSENSE !!!!!**

Therefore, we have contradiction

The halting problem is undecidable

END OF PROOF

Another proof of the same theorem:

If the halting problem was decidable then every recursively enumerable language would be recursive

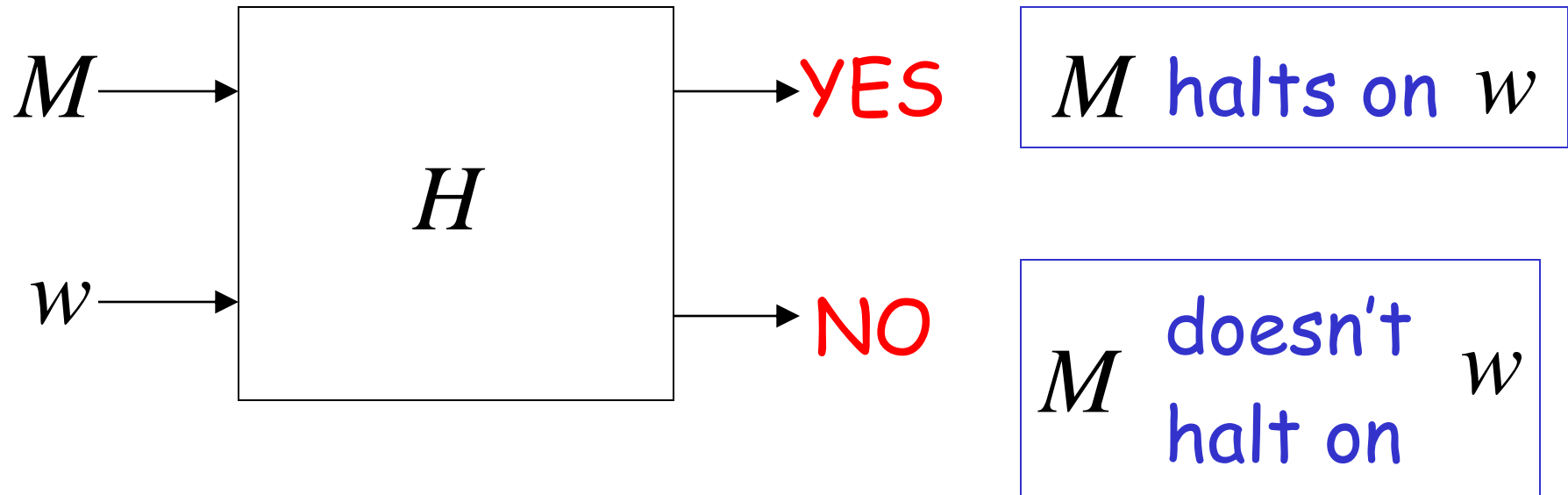
**Theorem:**

The halting problem is undecidable

**Proof:** Assume for contradiction that the halting problem is decidable



There exists Turing Machine  $H$   
that solves the halting problem



Let  $L$  be a recursively enumerable language

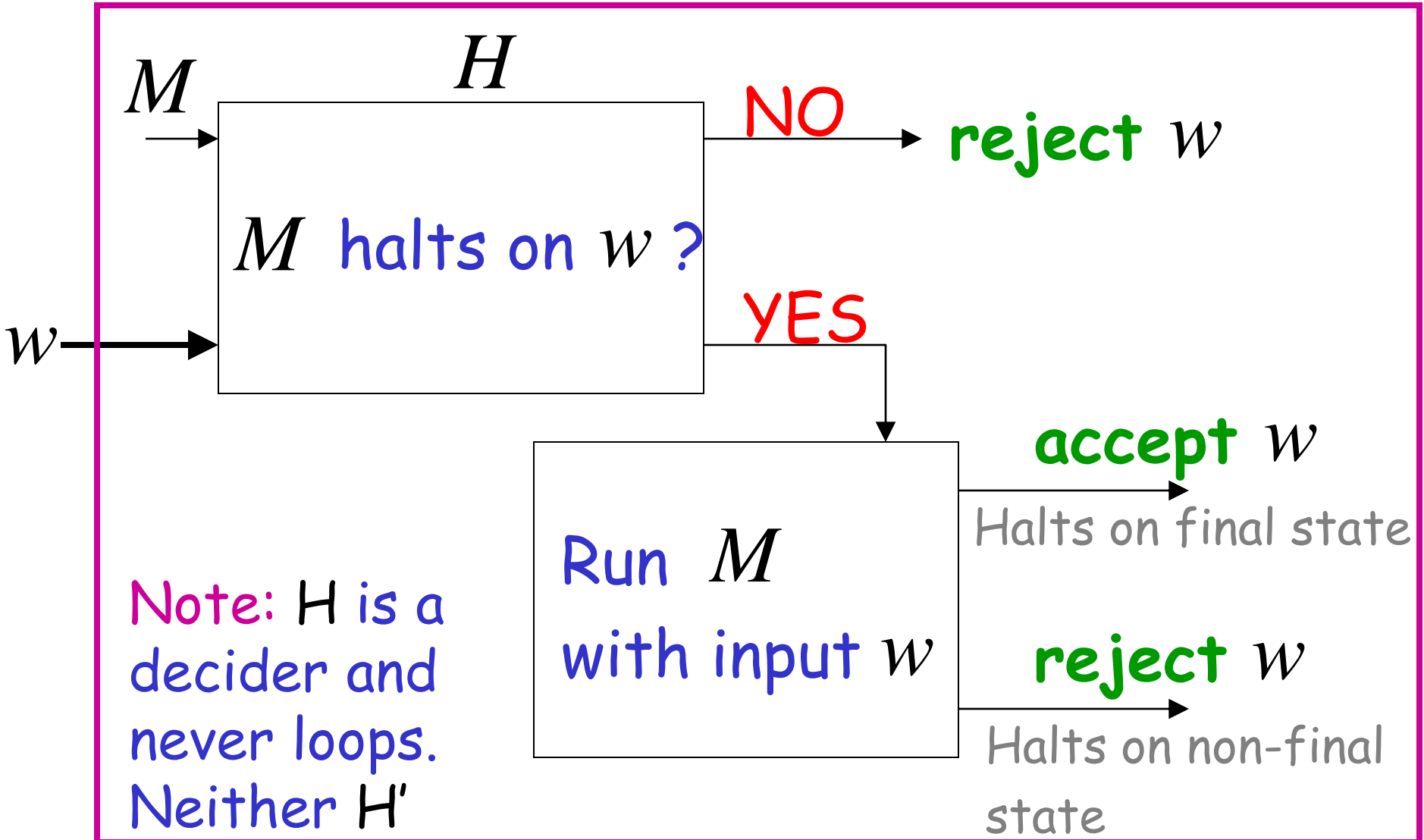
Let  $M$  be the Turing Machine that accepts  $L$

We will prove that  $L$  is also recursive:

we will describe a Turing machine that accepts  $L$  and halts on any input

# Turing Machine that accepts $L$ and halts on any input

$H'$



Therefore  $L$  is recursive

Since  $L$  is chosen arbitrarily, **every** recursively enumerable language is also recursive

But there are recursively enumerable languages which are not recursive

**Contradiction!!!!**

Therefore, the halting problem is undecidable

END OF PROOF

Another approach for understanding  
halting problem

# The Diagonalization Method

- The diagonalization method was discovered by mathematician Georg Cantor in 1873.
- If we have two **infinite sets**, how can we tell whether one is larger or they are of the same size?
- we can't use the **counting method** to determine the relative sizes of infinite sets.

# The Diagonalization Method

- Two finite sets have the same size if the elements of one set can be **paired** with the elements of the other set.



# The Diagonalization Method

## Definition 4.12

- Assume that sets  $A$  and  $B$ .
  - function  $f$  is one-to-one if it never maps two different elements to the same place.
  - $f$  is onto if it hits every element of  $B$ .
- A function that is both one-to-one and onto is called a correspondence.

# The Diagonalization Method

- A correspondence is simply a way of pairing the elements of  $A$  with the elements of  $B$ .
- Say that  $A$  and  $B$  are the same size if there is one-to-one, onto function  $f$ :  
 $A \rightarrow B$ .

# The Diagonalization Method

## Example 4.13

- Let  $N$  be the set of natural numbers  $\{1, 2, 3, \dots\}$  and let  $E$  be the set of even natural numbers  $\{2, 4, 6, \dots\}$ .
- $N$  and  $E$  have the same size. The correspondence  $f$  mapping  $N$  to  $E$  is simple  $F(n)=2n$ .

$n$	$f(n)$
1	2
2	4
3	6
...	...

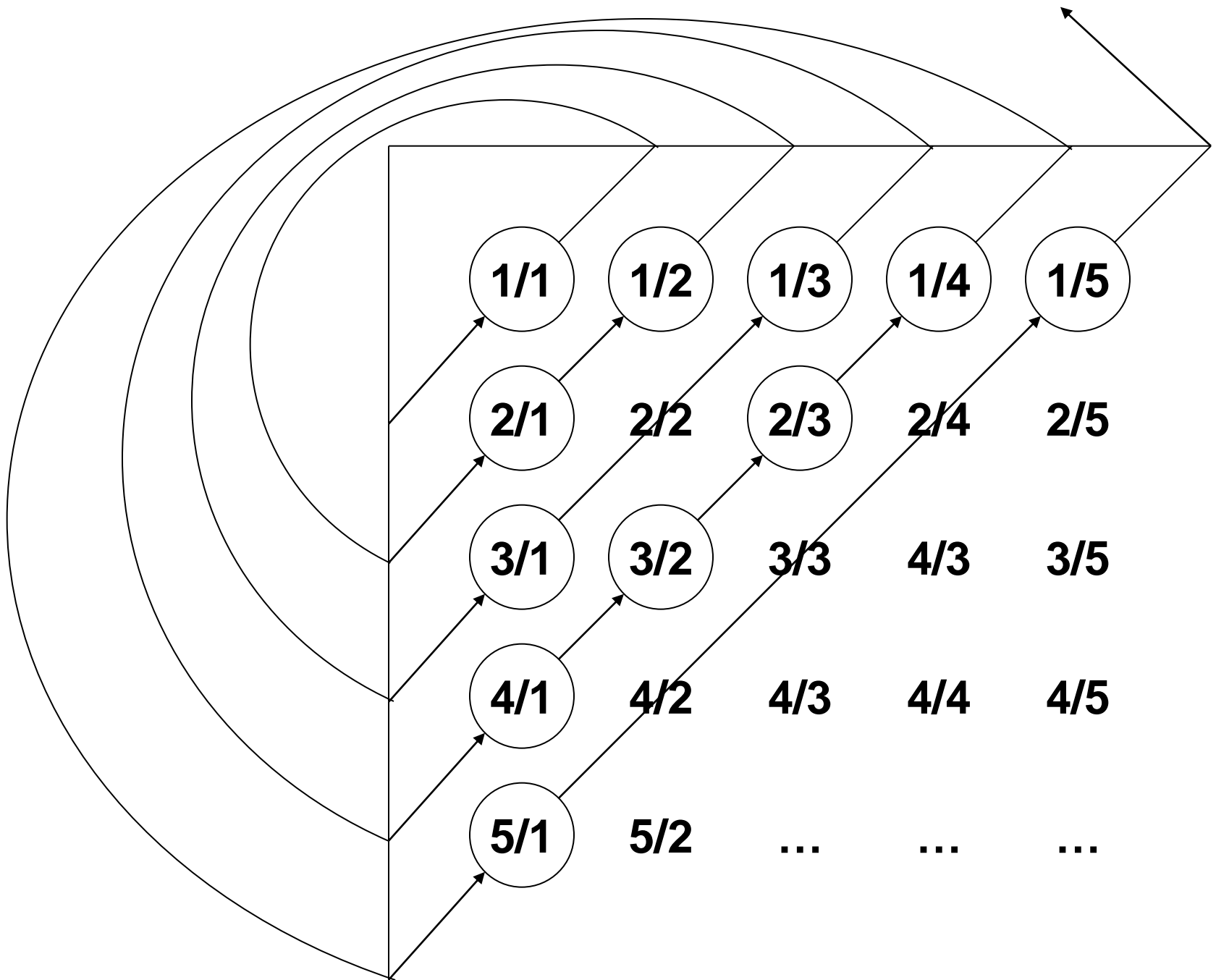
# The Diagonalization Method

## Definition 4.14

- A set  $A$  is **countable** if either it is finite or it has the same size as  $\mathbb{N}$ .

## Example 4.15

- If we let  $Q = \{m/n \mid m, n \in \mathbb{N}\}$  be the set of positive rational numbers,  $Q$  and  $\mathbb{N}$  are the same size according.



# The Diagonalization Method

- The set of **real numbers** is an example of an **uncountable set**.
- A real number is one that has a decimal representation.
- $\pi=3.1415926\dots$  and  $\sqrt{2}=1.4141135\dots$  are examples of real numbers. Let **R** be the set of real numbers.

# The Diagonalization Method

- Theorem 4.17

- $\mathbb{R}$  is uncountable

- Proof

- Suppose that a correspondence  $f$  existed between  $\mathbb{N}$  and  $\mathbb{R}$ .

- Let  $f(1)=3.14159$ ,  $f(2)=55.55555\dots$ ,  $f(3)=\dots$  and so on, just to make up some values for  $f$ . Then  $f$  pairs the number 1 with 3.14159..., the number 2 with 55.55555..., and so on.

# The Diagonalization Method

Then we  
construct the  
desired  $x$  by  
giving its  
decimal  
representation.

$n$	$f(n)$
1	3.14159...
2	55.55555...
3	0.12345...
4	0.50000...
...	...



# The Diagonalization Method

- we let the first digit of  $x$  be anything different from the first fractional digit of  $f(1)=3.\underline{1}4159\dots$  Arbitrarily, we let it be 4.
- we let the second fractional digit of  $x$  be anything different from the first fractional digit of  $f(2)=55.5\underline{1}5555\dots$  Arbitrarily, we let it be 6. ....

# The Diagonalization Method

We know that  $x$  is not  $f(n)$  for any  $n$  because it differs from  $f(n)$  in the  $n_{th}$  fractional digit.

$n$	$f(n)$
1	3.14159...
2	55.55555...
3	0.12345...
4	0.50000...
...	...

$$x = 0.4641\dots$$

# The Diagonalization Method

- **Corollary 4.18** Some languages are not Turing-recognizable.
- We'll see that the set of all Turing machines is countable
- **Proof**
  - We have already proved that the set of all strings  $\Sigma^*$  is **countable**.

# The Diagonalization Method

- Each Turing machine  $M$  has an encoding into a binary string  $\langle M \rangle$ .
- The number of all Turing machines is a **subset** of a set of all string  $\Sigma^*$  .
- The set of all Turing machines is countable

# The Diagonalization Method

- The set of all languages is same as a power set of an infinite countable set, which was proved to be **uncountable**;
- Thus we have shown that the set of all Turing machines **can not** be put into a correspondence with the set of all languages;
- We conclude that some languages **are not recognized by any Turing machine.**

# The Halting Problem

- The problem of determining whether a Turing Machine **accepts** a given input string.

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$$

- **Proof**
  - By contradiction, suppose that  $H$  is a decider for  $A_{TM}$ . On input  $\langle M, w \rangle$ ,  $H$  halts and accepts if  $M$  accepts  $w$ .

# The Halting Problem

$$H(\langle M, w \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ accepts } w \\ \textit{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

- Now we construct a new Turing machine  $D$  with  $H$  as a subroutine.
- $D$  determines what  $M$  does when the input to  $M$  is its own description  $\langle M \rangle$ .
- Once  $D$  has determined this information, it does the opposite.

# The Halting Problem

The following is a description of  $D$ .

$D$  = "ON input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. Output the opposite of what  $H$  outputs; that is, if  $H$  accepts, **reject** and if  $H$  rejects, **accept**."



# The Halting Problem

In summary,

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...
$M_1$	accept	reject	accept	reject	...
$M_2$	accept	accept	accept	accept	...
$M_3$	reject	reject	reject	reject	...
$M_4$	accept	accept	reject	reject	...
...	...	...	...	...	...

# The Halting Problem

- There is no problem in the previous table. But what happens for **D** in next table? A contradiction occurs at "?"

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...	$\langle D \rangle$	...
$M_1$	<u>accept</u>	reject	accept	reject	...	accept	...
$M_2$	accept	<u>accept</u>	accept	accept	...	accept	...
$M_3$	reject	reject	<u>reject</u>	reject	...	reject	...
$M_4$	accept	accept	reject	<u>reject</u>	...	accept	...
...	...	...	...	...	...	...	...
D	reject	reject	accept	accept	...	<u>?</u>	...
...	...	...	...	...	...	...	...

# The Halting Problem

That means, when we run  $D$  with its own description  $\langle D \rangle$  as input, we get

$$D(\langle D \rangle) = \begin{cases} \textit{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \textit{reject} & \text{if } D \text{ accepts } \langle D \rangle \end{cases}$$

- No matter what  $D$  does, it is forced to do the opposite, which is obviously a contradiction. Thus neither TM  $D$  nor TM  $H$  can exist.

# The Halting Problem

- Let's review the steps of this proof. Assume that a TM  $H$  decides  $A_{TM}$ . Then use  $H$  to build a TM  $D$ . The machines take the following actions:

$H$  accepts  $\langle M, w \rangle$  exactly when  $M$  accepts  $w$ .

$D$  rejects  $\langle M \rangle$  exactly when  $M$  accepts  $\langle M \rangle$ .

$D$  rejects  $\langle D \rangle$  exactly when  $D$  accepts  $\langle D \rangle$ .

# The Halting Problem

- In summary,  $A_{TM}$  is not decidable. That means a TM can not decide whether a TM  $M$  would accept or reject a string  $w$ .
- Hence we can see that the ability of TM is still **limited**. That's the most important significance of the halting problem.